### UNITED STATES DISTRICT COURT

### DISTRICT OF NEVADA

MICHAEL STERNBERG, et al.,

Plaintiffs

v.

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SHELLEY WARNECK, et al.,

Defendants

Case No.: 2:23-cv-01466-APG-EJY

**Order Granting California Judicial Branch Defendants' Motion to Dismiss** 

[ECF No. 96]

Plaintiff Michael Sternberg sues 75 individuals and entities regarding events arising out of a custody dispute with the mother of his children, defendant Shelley Warneck. In this order, I address the motion to dismiss filed by the Supreme Court of California; the California Court of Appeal, Sixth Appellate District; the Superior Court of California, County of Santa Clara; and 12 seven judges who sit on those courts: Patricia Guerrero; Mary Greenwood; Beth McGowen; 13 Brooke Blecher; Roberta Hayashi; Cindy Hendrickson; and Thomas Kuhnle (collectively, the California Judicial Branch Defendants). The California Judicial Branch Defendants argue this 15 court lacks personal jurisdiction over them, each of them is entitled to judicial immunity, and the Eleventh Amendment bars claims against them in federal court. Sternberg opposes.

The parties are familiar with the first amended complaint's (FAC) allegations, so I repeat them here only as necessary to resolve the motion to dismiss. I grant the motion because this court lacks personal jurisdiction over these defendants.

### I. ANALYSIS

"When a defendant moves to dismiss for lack of personal jurisdiction, the plaintiff bears the burden of demonstrating that the court has jurisdiction over the defendant." Pebble Beach Co. v. Caddy, 453 F.3d 1151, 1154 (9th Cir. 2006). If the motion to dismiss is based on written

materials rather than an evidentiary hearing, I must determine whether the plaintiff's "pleadings and affidavits make a prima facie showing of personal jurisdiction." Schwarzenegger v. Fred 3 Martin Motor Co., 374 F.3d 797, 800 (9th Cir. 2004) (quotation omitted). In deciding whether the plaintiff has met his burden, I must accept as true the complaint's uncontroverted allegations. 5 Id.

"The general rule is that personal jurisdiction over a defendant is proper if it is permitted by a long-arm statute and if the exercise of that jurisdiction does not violate federal due process." Pebble Beach Co., 453 F.3d at 1154. Nevada's long-arm statute permits the exercise of jurisdiction on any basis consistent with federal due process. Nev. Rev. Stat. § 14.065(1). Due process requires that to exercise personal jurisdiction over a defendant, the defendant must "have certain minimum contacts with the forum state such that the maintenance of the suit does not offend traditional notions of fair play and substantial justice." Ranza v. Nike, Inc., 793 F.3d 1059, 13 | 1068 (9th Cir. 2015) (quotation omitted). Personal jurisdiction over a defendant may be based on general or specific jurisdiction. *Id*.

## A. General Personal Jurisdiction

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If a court has general jurisdiction over a defendant, then the plaintiff may bring any claim he or she has against that defendant regardless of whether the claim relates to the defendant's activities in the forum state. Ford Motor Co. v. Mont. Eighth Jud. Dist. Ct., 592 U.S. 351, 358 (2021). "Because the assertion of judicial authority over a defendant is much broader in the case of general jurisdiction than specific jurisdiction, a plaintiff invoking general jurisdiction must meet an exacting standard for the minimum contacts required." Ranza, 793 F.3d at 1069 (quotation omitted). A court may assert general jurisdiction over defendants when their "affiliations with the State are so continuous and systematic as to render them essentially at

home in the forum State." Goodyear Dunlop Tires Operations, S.A. v. Brown, 564 U.S. 915, 919

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(2011) (quotation omitted). "For an individual, the paradigm forum for the exercise of general jurisdiction is the individual's domicile." Daimler AG v. Bauman, 571 U.S. 117, 137 (2014). An entity is similarly subject to general jurisdiction based on "an equivalent place" where the entity "is fairly regarded as at home." *Id.* (quotation omitted). Although these are not necessarily the only means for a defendant to be subject to general jurisdiction, the defendant's contacts with the forum must be "so substantial and of such a nature as to justify suit on causes of action arising from dealings entirely distinct from those activities." *Id.* at 137-38 (simplified).

The California Judicial Branch Defendants argue there is no general jurisdiction over them because Sternberg has not alleged facts showing they had continuous and systematic contacts with Nevada such that they may be deemed to be at home in Nevada. They assert that 12 they reside in and are judicial officers of California or are judicial arms of California, and the acts about which Sternberg complains involve their actions taken in a family court case that Warneck filed in California.

Sternberg responds that there is general jurisdiction because the California judiciary has continuous and systematic contacts with Nevada due to their participation in enforcing two uniform Acts that both California and Nevada have enacted. First, he asserts that because the judges relied on the Uniform Child Custody Jurisdiction and Enforcement Act (UCCJEA) to assert jurisdiction over the children, the judges have continuous contacts with other states, including Nevada, that have enacted that uniform act. He also contends that he was arrested in Nevada under authority of the Uniform Criminal Extradition Act (UCEA), so the California judiciary has continuous and systematic contacts with Nevada by virtue of the mutual agreement between states to enforce that uniform act.

The California Judicial Branch Defendants are alleged to be California residents or

1 entities, taking actions in California litigation, and there are no allegations that they have 3 continuous and systematic contacts with Nevada such that they are essentially at home in this state. ECF Nos. 86 through 86-4. The California courts are at home in California. The California judges are domiciled in California. See ECF No. 86-2 at 2 (listing these defendants at various California addresses). The judges' participation in proceedings under the two uniform acts does not make them essentially at home in each of the states that enacted similar uniform acts. By Sternberg's own allegations, the judges preside in California cases, not Nevada cases. Presiding over California cases that involve non-California litigants and coordinating with other state courts regarding jurisdiction do not make the judges essentially at home in those other states. Consequently, there is no basis to exercise general jurisdiction over any of the California 11 Judicial Branch Defendants. 13

# **B.** Specific Personal Jurisdiction

The Ninth Circuit has established a three-prong test for analyzing a claim of specific personal jurisdiction:

- (1) The non-resident defendant must purposefully direct his activities or consummate some transaction with the forum or resident thereof; or perform some act by which he purposefully avails himself of the privilege of conducting activities in the forum, thereby invoking the benefits and protections of its laws;
- (2) the claim must be one which arises out of or relates to the defendant's forumrelated activities; and
- (3) the exercise of jurisdiction must comport with fair play and substantial justice, i.e. it must be reasonable.

Schwarzenegger, 374 F.3d at 802 (quotation omitted). "The plaintiff bears the burden of 22 satisfying the first two prongs of the test." Id. If he succeeds, then the defendant must "present a

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compelling case that the exercise of jurisdiction would not be reasonable." *Id.* (quotation

2 omitted).

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Under the first prong, "to be subject to specific jurisdiction the defendant must purposefully direct its activities toward the forum state, purposefully avail itself of the privileges of conducting activities there, or engage in some combination thereof." Impossible Foods Inc. v. Impossible XLLC, 80 F.4th 1079, 1088 (9th Cir. 2023) (quotation omitted). When the claims at issue are torts and the defendant's conduct "primarily occurs outside the forum state, [I] generally apply the purposeful direction test and look to whether the defendant expressly aimed acts at the forum state knowing that they would harm the plaintiff there." *Id.* To purposefully direct conduct at the forum state, "the defendant must have allegedly (1) committed an intentional act, (2) expressly aimed at the forum state, (3) causing harm that the defendant knows 12|| is likely to be suffered in the forum state." Davis v. Cranfield Aerospace Sols., Ltd., 71 F.4th 13 | 1154, 1162-63 (9th Cir. 2023) (quotation omitted). The defendant's act may be directed at Nevada "even if it occurred elsewhere." *Id.* at 1163. In contrast, a defendant purposefully avails 15 herself of the forum state when she "purposefully avails [herself] of the privilege of conducting 16 activities within the forum State, thus invoking the benefits and protections of its laws, and in return submits to the burdens of litigation in the State." *Id.* (simplified). Because Sternberg's claims are torts and these defendants' alleged conduct took place in California, the purposeful direction test is more applicable, but I consider both tests.

In analyzing specific jurisdiction, I "focus[] on the relationship among the defendant, the forum, and the litigation." Walden v. Fiore, 571 U.S. 277, 283-84 (2014) (simplified). That "relationship must arise out of contacts that the defendant himself creates with the forum State." Id. at 284 (simplified). It cannot be based on the "random, fortuitous, or attenuated contacts" the

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defendant "makes by interacting with other persons affiliated with the State," or on the plaintiff's "unilateral activity." Id. at 286 (simplified). The "defendant's suit-related conduct must create a substantial connection with the forum State." *Id.* at 284. Thus, the "analysis looks to the defendant's contacts with the forum State itself, not the defendant's contacts with persons who reside there." Id. at 285.

The California Judicial Branch Defendants contend they are not subject to specific personal jurisdiction because the FAC does not allege that they purposefully directed any activity 8 at Nevada, and Sternberg's claims arise out of actions they took (or failed to take) in California. They contend that they have no forum-related conduct, so this court's exercise of personal jurisdiction over them would be unreasonable.

Sternberg responds that he has sufficiently alleged contacts with Nevada because he lives here, his children were twice removed from the state due to a conspiracy between the California Judicial Branch Defendants and Warneck, and defendant Hayashi "actively obstructed justice in a NEVADA courtroom." ECF No. 118 at 7 (emphasis omitted). Sternberg contends that Hayashi participated in a hearing that took place in the Nevada lawsuit that Sternberg filed against Warneck, during which the two courts sought to determine which one should exercise jurisdiction under the UCCJEA. He states that if I dismiss these defendants, then he would have nowhere else to sue them but in federal court in California, which would unduly burden both him and his rights related to his children.

### 1. California Courts, Guerrero, Greenwood, and McGowen

Sternberg has not alleged facts supporting a prima facie case that the Supreme Court of California; the California Court of Appeal, Sixth Appellate District; the Superior Court of California, County of Santa Clara; Patricia Guerrero; Mary Greenwood; or Beth McGowen

purposefully directed their actions at Nevada or purposefully availed themselves of Nevada's privileges and protections. Regarding the courts, Sternberg has not alleged any facts that the judicial entities have done so. Regarding the judges, Sternberg's statement of facts does not mention Guerrero, Greenwood, and McGowen. ECF No. 86-3. He mentions Greenwood and McGowen (but not Guerrero) in his list of causes of action. ECF No. 86-4 at 17-19. There, he alleges that Greenwood and McGowen were aware of judicial misconduct but did nothing to stop it, did not report the misconduct under the California Code of Judicial Ethics, and did not follow court rules to address his complaints against other judges. Id. at 17-19. But Greenwood and McGowen's alleged failure to act in California to address alleged misconduct by California judges that took place in California does not create any contacts with Nevada, much less the kind 111 of substantial connection required for this court to exercise specific jurisdiction over them. The fact that a Nevada resident is complaining about the alleged misconduct does not suffice to show Greenwood and McGowen expressly aimed their acts or omissions at Nevada or purposefully 13 availed themselves of the State. Consequently, there is no basis to exercise specific jurisdiction 15 over the California courts, Guerrero, Greenwood, and McGowen, so I grant their motion to 16 dismiss.

# 2. Blecher, Hendrickson, and Kuhnle

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The FAC identifies Blecher, Hendrickson, and Kuhnle as judges with the Santa Clara Superior Court in California. ECF No. 86-2 at 1-2. Each of these judges made rulings at some point during the California custody dispute case. ECF Nos. 86-3 at 8-9, 11-14, 16; 86-4 at 5-9, 17, 19, 22. These defendants' rulings as California judges in a California case involving a litigant that happens to live in Nevada are not contacts that these defendants created with Nevada. Sternberg thus has not plausibly alleged that any of these defendants expressly aimed

their conduct at Nevada or otherwise created a substantial connection with the State. Even assuming without deciding that an alleged co-conspirator's forum-related contacts could be 3 attributed to these defendants, "[t]he cases are unanimous that a bare allegation of a conspiracy between the defendant and a person within the personal jurisdiction of the court is not enough." Chirila v. Conforte, 47 F. App'x 838, 842-43 (9th Cir. 2002) (quotation omitted); see also Underwager v. Channel 9 Australia, 69 F.3d 361, 364 (9th Cir. 1995) (affirming dismissal for lack of personal jurisdiction because the plaintiff had "allege[d] no facts to even suggest a conspiracy"). Sternberg's conclusory allegations that these defendants conspired with attorneys, each other, or Warneck are insufficient to state a prima facie case that the defendants purposefully directed their actions at Nevada or purposefully availed themselves of Nevada. See 111 ECF Nos. 86-3 at 8; 86-4 at 5-9, 17, 19. Moreover, there are no allegations that these defendants had any involvement in Warneck's initial removal of the children from Nevada. Sternberg's unilateral decision to retain the children in Nevada after Kuhnle ordered him to return the children to California does not create a contact between Kuhnle and Nevada. ECF No. 86-3 at 15||14-15. Sternberg could have moved the children to any state and still have been subject to Kuhnle's return order. Sternberg therefore has not made a prima facie showing of personal 17jurisdiction over Blecher, Hendrickson, or Kuhnle. 18 Even if I had personal jurisdiction over these defendants, all claims against them would be barred by absolute judicial immunity. See Mireles v. Waco, 502 U.S. 9, 11-12 (1991). Sternberg does not plausibly allege that these judges acted "in the complete absence of all jurisdiction," and all their alleged acts were judicial in nature, so Sternberg cannot overcome these defendants' judicial immunity. See id. To the extent absolute judicial immunity does not apply to declaratory relief, I would decline, in my discretion, to entertain a suit for declaratory

relief in these circumstances. Sternberg's challenges to determinations made in state family court proceedings would needlessly entangle this court in state law issues in an area that is 3 traditionally left to the states. See Egelhoff v. Egelhoff ex rel. Breiner, 532 U.S. 141, 151 (2001) (describing family law as an "area[] of traditional state regulation"). Additionally, it appears Sternberg is forum shopping after receiving undesirable results in the state proceedings, which should be discouraged. See Gov't Emps. Ins. Co. v. Dizol, 133 F.3d 1220, 1225 (9th Cir. 1998). I therefore grant Blecher, Hendrickson, and Kuhnle's motion to dismiss. 8 3. Hayashi

Sternberg alleges that Hayashi participated in a jurisdictional conference with the Nevada court to determine which court would exercise jurisdiction over the custody dispute. ECF No. 86-3 at 3-4. He alleges that during that conference, Hayashi admitted to having read ex parte declarations submitted to her by Warneck and Warneck's attorney, Tristan Aeschleman. Id. at 4. According to the FAC, Hayashi "aggressively advocated" for Warneck during the jurisdictional conference based on these declarations and denied Sternberg's request for an opportunity to 15 respond. *Id.* The Nevada judge allegedly acquiesced to Hayashi's "aggressive demeanor" and ceded jurisdiction to the Santa Clara County court, even though Sternberg, Warneck, and the children did not live there. *Id*.

The FAC alleges that Hayashi thereafter "conspired" with Aeschelman and Sternberg's own attorney "to change the track of [the custody] case from a brief focused assessment and a jurisdictional analysis to a full blow[n] custody evaluation" without notice to Sternberg and without his consent. *Id.* at 6-7. He also alleges that Hayashi, Aeschelman, and Sternberg's lawyer took various actions in the custody litigation without notice to him and without his

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consent. Id. at 7. Hayashi thereafter ruled against Sternberg several times. Id. at 9-10. Hayashi also allegedly twice denied Sternberg's motions to disqualify her. *Id.* at 11.

Although these allegations are more substantial than those against the other judges, Sternberg has not plausibly alleged a prima facie case of specific personal jurisdiction over Hayashi in Nevada. The FAC states that after Sternberg brought the Nevada custody lawsuit, the Nevada court initiated the jurisdictional conference that Hayashi participated in due to the need to determine which court would preside over the custody dispute. See ECF No. 86-3 at 4 (stating that the Nevada judge "decided to hold a jurisdictional conference with the Santa Clara County, CA court"). Hayashi thus did not direct her conduct at Nevada. Rather, her contact with Nevada was merely fortuitous because a litigant in a California case over which Hayashi presided lived in Nevada and wanted the custody case to proceed there instead of in California. Additionally, Hayashi's participation in a single jurisdictional conference is too attenuated to support personal 13|| jurisdiction. Hayashi could not have reasonably expected to be haled into court in Nevada merely by participating in such a conference. See World-Wide Volkswagen Corp. v. Woodson, 15 444 U.S. 286, 297 (1980) (stating that the critical inquiry is whether "the defendant's conduct and connection with the forum State are such that he should reasonably anticipate being haled into court there"). The remainder of Hayashi's actions while she presided over the custody case took place in California. Her rulings in a California child custody dispute in which one of the litigants happens to reside in Nevada do not create substantial connections with Nevada sufficient to support personal jurisdiction here. Additionally, Sternberg's conclusory allegations that Hayashi conspired with Warneck, Aeschelman, and Sternberg's own attorneys do not suffice to establish personal jurisdiction either. I therefore dismiss Sternberg's claims against Hayashi for lack of personal jurisdiction.

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Even if I had personal jurisdiction over Hayashi, all claims against her would be barred by absolute judicial immunity. See Mireles, 502 U.S. at 11-12. As with the other judges, Sternberg does not plausibly allege that Hayashi acted in the complete absence of all jurisdiction, and all her alleged acts were judicial in nature, so Sternberg cannot overcome Hayashi's absolute judicial immunity with his allegations. To the extent absolute judicial immunity does not apply to declaratory relief, I would decline to entertain a suit for declaratory relief in these circumstances for the same reasons discussed above. I therefore grant Hayashi's motion to dismiss.

# C. Jurisdictional Discovery

Sternberg requests jurisdictional discovery if I am inclined to grant the motion to dismiss. 11 However, Sternberg offers no basis for suspecting that jurisdictional discovery would change the outcome. See Boschetto v. Hansing, 539 F.3d 1011, 1020 (9th Cir. 2008) (stating that a plaintiff seeking jurisdictional discovery must provide some basis to believe that discovery will lead to 13 relevant evidence). I therefore deny the request to conduct jurisdictional discovery. I dismiss 15 Sternberg's claims against the California Judicial Branch Defendants for lack of personal 16 jurisdiction and without prejudice to him pursuing his claims in California or some other appropriate forum. Although Sternberg contends that it would be burdensome for him to sue these defendants in California, the burden on a plaintiff to litigate elsewhere is not a factor I consider in determining whether I have personal jurisdiction over a defendant. Walden, 571 U.S. 20 at 284 ("Due process limits on the State's adjudicative authority principally protect the liberty of the nonresident defendant—not the convenience of plaintiffs or third parties.").

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# II. CONCLUSION 1 2 I THEREFORE ORDER that the California Judicial Branch Defendants' motion to dismiss (ECF No. 96) is GRANTED. The following defendants are dismissed for lack of personal jurisdiction, without prejudice to Sternberg suing them in another appropriate forum: 5 The Supreme Court of California; 6 The California Court of Appeal, Sixth Appellate District; 7 The Superior Court of California, County of Santa Clara; Patricia Guerrero; 8 9 Mary Greenwood; 10 Beth McGowen; 11 Brooke Blecher; 12 Cindy Hendrickson; 13 Thomas Kuhnle; and 14 Roberta Hayashi. 15 DATED this 3rd day of July, 2024. 16 17 ANDREW P. GORDON UNITED STATES DISTRICT JUDGE 18 19 20 21 22

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